IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Application of: Isamu TERASAKA, ET AL.

Filed: March 20, 2001

Serial No.: 09/812,726

For: RECORDING MEDIUM HAVING ENCRYPTED SOUND DATA RECORDED ...

Attention: Application Branch

SUBMISSION OF MISSING PARTS

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

SIR:

We have received a Notice to File Missing Parts of Application dated May 18, 2001 a copy of which is attached. In reply thereto enclosed please find the following items:

- 1. Declaration;
- 2. Check in the amount of \$ 840.00; covering the basic filing fee of \$710.00; additional claim fee \$ 0.00; extension fee \$ 0.00 and surcharge of \$130.00;
- 3. Certified copy of Priority Document no. 2000-080304 filed March 22, 2000;
 - X 4. A Substitute Specification in compliance with 37CFR 1.52;
 - 5. Subtitute drawings in compliance with 37CFR 1.84;
 - X 6. Return post card;
 - 7. Notice to File Missing Parts of Application;

Any fee due with this paper, not fully covered by an enclosed check, may be charged to Deposit Acct. No. 08-1634.

> Respectfv11x ubmitted,

Any fee due with this paper, not fully served by an enclosed check, may be charged on deposit Acct. No. 08-1634

[X] Samson Helfgott Reg. Nø.: 23,072

HELFGOTT & KARAS, P.C.

60th Floor, Empire State Building

New York, New York 10118 TELEPHONE: (212) 643-5000

JULY 11, 2001

Docket No.: SCEI 18.497

ejh:Mispart.

Filed by Express Mail (Receipt No. <u>EL 639 691 643U)</u>) on July 11,200

pursuant to 37 C.F.R. 1.10



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023! www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
00/812 726	03/20/2001	Igamu Taragaka	SCET 19 407

09/812,726

03/20/2001

Isamu Terasaka

SCET 18.497

CONFIRMATION NO. 2601

FORMALITIES LETTER

OC000000006092254*

026304 HELFGOTT & KARAS, P.C. **EMPIRE STATE BUILDING 60TH FLOOR** NEW YORK, NY 10118

Date Mailed: 05/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - The specification cannot be scanned or properly stored. Page(s)
 - o Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily

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legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages **Specification** are not in compliance with 37 CFR 1.52(a). The drawings filed are unacceptable because:

Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE